

<p>FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use several sheets if necessary)</p> <p>MAY 23 2002</p>	ATTY. DOCKET NO.	SERIAL NO.
	L741.02102	10/085,127
	APPLICANT	Yves AUDEBERT, et al.
FILING DATE	GROUP	
March 1, 2002	2131	

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MAY 28 2002

## Technology Center 2100

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

MTH	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology-Identification cards-Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1, pp. 1-5, December 15, 1997.
MTH	ISO/IEC 7816-4: 1995(E) Information technology-Identification cards-Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, pp. 1-46, September 1, 1995.
MTH	US Patent Application Serial No. 09/844,246 entitled "Method And System For Establishing A Remote Connection To A Personal Security Device", filed on April 30, 2001 by Y. AUDEBERT, et al.
MTH	US Patent Application Serial No. 09/844,439 entitled "Method And System For Authentication Through A Communications Pipe", filed on April 30, 2001 by Y. AUDEBERT, et al.

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FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office	ATTY. DOCKET NO. <b>L741.02102</b>	SERIAL NO. <b>10/085,127</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>APPLICANT</b> <b>Yves AUDEBERT, et al.</b>
(Use several sheets if necessary)		
<b>FILING DATE</b> <b>March 1, 2002</b>		<b>GROUP</b> <b>2131</b>

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Notes on  
Subject -

International Search Report dated May 28, 2003.

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EXAMINER INITIAL	TRADEMARK OFFICE	DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE
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		6	1	3	1	8	1	1	10/2000	Gangi		
		6	0	0	5	9	4	2	12/1999	Chan et al.		
		6	1	4	4	6	7	1	11/2000	Perinpanathan et al.		
↓	Inv. No. 2002	5	7	7	8	0	7	1	07/1998	Caputo et al.		
Already Considered		2002	0	2	5	0	4	6	02/2002	Lin		
		6	2	7	9	0	4	7	08/2001	Bublitz et al.		

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		DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO										
Already Considered		9	9	6	2	2	1	0	12/1999	WO			
		0	1	2	2	3	7	3	03/2001	WO			
		0	1	1	6	9	0	0	03/2001	WO			
↓		9	8	5	2	1	6	1	11/1998	WO			

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	Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
↓	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

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		6	0	0	5	9	4	2	12/1999	Chan et al.		
		6	1	4	4	6	7	1	11/2000	Perinpanathan et al.		
		5	7	7	8	0	7	1	07/1998	Caputo et al.		
Serial Number	2002	0	2	5	0	4	6	02/2002	Lin			
Already Considered		5	9	9	1	4	0	7	11/1999	Murto		

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		0	1	2	2	3	7	3	03/2001	WO			
		0	1	1	6	9	0	0	03/2001	WO			

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	Chen, Zhiqun et al., "Understanding Java Card 2.0," Javaworld, March 1998.
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WT H Naomaru ITOI, et al., "Secure Internet Smartcards," CITI Technical Report 00-6, Program in Standard Technology, <http://www.citi.umich.edu/projects/smartcard/>, Center for Information Technology Integration, University of Michigan, ppgs. 1-12, 24 Aug. 2000.

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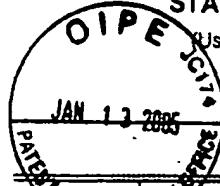
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	6	0	0	5	9	4	2	12/1999	Chan et al.			
	5	9	9	1	4	0	7	11/1999	Murto			
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							YES	NO			
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Missing Even Pages Not in English	0	9	1	1	7	7	2	04/1999	EP		
1	9	5	2	2	5	2	7	01/1997	DE		

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	Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
	Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
↓ No Application Number	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.
	International Search Report dated August 23, 2002.

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MT H	6	1	0	1	2	5	4	08/2000	Thiriet	✓	—	
MT H	6	1	3	1	8	1	1	10/2000	Gangi	✓	—	
Already Considered	6	0	0	5	9	4	2	12/1999	Chan et al.	✓	—	

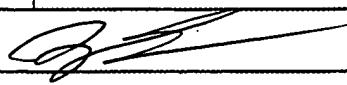
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No Page Number	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.	✓
	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.	✓
	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.	✓
	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.	
	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.	
	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.	✓
	ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.	✓
	Java Card 2.1 Application Programming Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.	✓
	Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaworld, July 1999.	✓
	Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.	✓
	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.	✓

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Incorrect Number	6	1	0	1	2	5	4	08/2000	Thiriet			
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MTH	5	9	9	1	4	0	7	11/1999	Murto	+	—	

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0018-9162, pp. 54-60.

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MT H	European Telecommunications Standards Institute: "Digital Cellular Telecommunications System (Phase 2+) (GSM); Universal Mobile telecommunications System (UMTS); Security Mechanisms for the (U) SIM application toolkit; Stage 2; (3GPP TS 23.048 version 4.1.0 Release 4)" ETSI TS 123 048 V4.1.0, September 2001, pp. 1-35, XP002237189 ETSI Technical Specification.
MT H	"Digital Cellular Telecommunications System (Phase 2+) (GSM); Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) Interface (GSM 11.14 version 8.3.0 Release 1999)" ETSI TS 101 267 V8.3.0, XX, XX, August 2000, pp. 1-69, 114, 115, XP002222021.

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	FILING DATE March 1, 2002	GROUP 2131

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odd pages

T. EBRINGER, et al.: "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-50.

Already  
Considered

P. TROMMLER, et al. "Smart cards and the OpenCard Framework," Java World, January, 1998, XP002473639, pp. 1-12.

**EXAMINER**

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FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office		ATTY. DOCKET NO.  L741.02102	SERIAL NO.  10/085,127
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		APPLICANT  Yves AUDEBERT, et al.	
		FILING DATE  March 1, 2002	GROUP  2131

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO											
Already considered	✓	0	1	5	9	7	3	0	08/2001	WO				
Missing Event Page	✓	0	9	1	1	7	7	2	04/1999	EP				
Not in English	✓	1	9	5	2	2	5	2	01/1997	DE				

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Meeting odd Pages)	<p><b>T. EBRINGER, et al.: "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.</b></p>
Already Considered	<p><b>P. TROMMLER, et al.: "Smart cards and the OpenCard Framework," Java World, January, 1998, XP002173639, pp. 1-12.</b></p>

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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APPLICANT

Yves AUDEBERT, et al.

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	6	1	3	1	8	1					
Already considered	6	1	0	1	2	5	08/2000	Thiriet			
	6	0	0	5	9	4	12/1999	Chan et al.			
	5	9	9	1	4	0	11/1999	Murto			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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UNO Page Number	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
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	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
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	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
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	Java Card 2.1 Application Program Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
	Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
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	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

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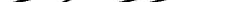
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APPLICANT <b>Yves Louis Gabriel AUDEBERT, et al.</b>		
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	FILING DATE	GROUP
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MTH		6	1	8	1	7	3	5	01/2001	Sarat	—	—	
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	FILING DATE <b>March 1, 2002</b>	GROUP <b>2131</b>

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MTH		6	2	3	3	6	8	3	05/2001	Chan et al.	—	—	
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<u>Considered</u> <u>Elsewhere</u>		6 3 8 5 7 2 9	05/2002	DiGiorgio et al.			

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